

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Ralf Brederlow ET AL.

Appln. No.: Not yet assigned

Filed: Herewith

For: INTEGRATED CIRCUIT
ARRANGEMENT HAVING
CAPACITORS AND HAVING
PLANAR TRANSISTORS AND A
FABRICATION METHOD

Examiner: Not yet assigned

Art Unit: N/A

Attorney Docket No: 10808/234

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
6,569,717	May 27, 2003	Murade
6,417,057 B1	July 9, 2002	Takemura et al.
6,414,345	July 2, 2002	Sazawa
6,140,162	October 31, 2000	Yeo
6,133,075	October 17, 2000	Yamazaki et al.
5,998,807	December 7, 1999	Lustig et al.
4,753,896	June 28, 1988	Matlaubian
FOREIGN PATENT DOCUMENTS		
DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
JP 2000312006 US	November 17, 2000	Japan
JP 62259466	November 11, 1987	Japan
JP 61113271 A	May 31, 1986	Japan
JP 57176757 A	October 30, 1982	Japan
OTHER ART – NON PATENT LITERATURE DOCUMENTS		
Copy of International Search Report from corresponding PCT application no. PCT/DE2003/03354.		
Copy of German Office Action from German application number 10248723.5.		

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's

consideration of the above reference(s) and entry thereof into the record of this application.

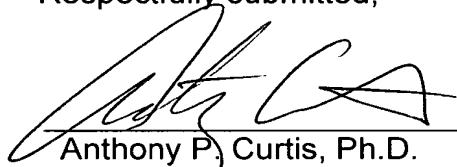
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

4/15/05

Date



Anthony P. Curtis, Ph.D.
(Reg. No. 46,193)

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JG13 Rec'd PCT/PTO 15 APR 2005

FORM PTO-1449	SERIAL NO. Not yet assigned	CASE NO. 10808/234
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Herewith	GROUP ART UNIT N/A
(use several sheets if necessary)		APPLICANT(S): Ralf Brederlow et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,569,717	May 27, 2003	Murade		
	A2	6,417,057 B1	July 9, 2002	Takemura et al.		
	A3	6,414,345	July 2, 2002	Sazawa		
	A4	6,140,162	October 31, 2000	Yeo		
	A5	6,133,075	October 17, 2000	Yamazaki et al.		
	A6	5,998,807	December 7, 1999	Lustig et al.		
	A7	4,753,896	June 28, 1988	Matlaubian		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A8	JP 2000312006 US	November 17, 2000	Japan		X (Related to U.S. Pat. No. 6,569,717 B1)
	A9	JP 62259466	November 11, 1987	Japan		
	A10	JP 61113271 A	May 31, 1986	Japan		
	A11	JP 57176757 A	October 30, 1982	Japan		

OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A12	Copy of International Search Report from corresponding PCT application no. PCT/DE2003/03354.
	A13	Copy of German Office Action from German application number 10248723.5.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.